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CRIMINAL DIVISION

JUL 7 3 37 PM '80

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

INTERNAL SECURITY
SECTION
REGISTRATION UNIT

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

JUN 7 1980

For Six Month Period Ending

(Insert date)

Name of Registrant

Registration No.

3028

Dickstein, Shapiro & Morin

Business Address of Registrant

2101 L Street, N.W.
Washington, D.C. 20037

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- (1) Residence address
(2) Citizenship
(3) Occupation

Yes ☐ No ☐
Yes ☐ No ☐
Yes ☐ No ☐

(b) If an organization:

- (1) Name
(2) Ownership or control
(3) Branch offices

Yes ☐ No ☒
Yes ☐ No ☒
Yes ☐ No ☒

2. Explain fully all changes, if any, indicated in Item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name

Position

Date Connection
Ended

Judah Best

Partner

2/29/80

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? ☐ Yes ☒ No

If yes, identify each such person and describe his services.

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6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity?
Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish following information:

Name and address of foreign principal

Date acquired

Kuwait Airways

12/6/79

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10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Government of Liberia

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

See Attachment No. 1

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See Attachment No. 2

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☐ No ☒

If yes, describe fully.

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
March 6, 1980	Government of Liberia	Compensation for Legal Services Rendered and Out-of-Pocket Expenses	\$20,833.34
March 21, 1980	Government of Liberia	Compensation for Legal Services Rendered and Out-of-Pocket Expenses	20,833.34
		SUB-TOTAL	\$41,666.68
April 28, 1980	Kuwait Airways	Compensation for Legal Services Rendered and Out-of-Pocket Expenses	\$ 4,300.00
May 2, 1980	Kuwait Airways	Compensation for Legal Services Rendered and Out-of-Pocket Expenses	7,159.87
		SUB-TOTAL	\$11,459.87
			<u>\$53,126.55</u>
		Total	

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
See Attachment No. 3			

Total

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☒ No ☐

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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See Attachment No. 4

V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.
Government of Liberia

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?
Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:
☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☒ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:
☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☒ Other (Specify) Individuals in the United States business community

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?
No political propaganda was disseminated in a manner which required such filing.
Yes ☐ No ☒

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act?
Yes ☐ No ☒ No political propaganda was disseminated in a manner which required such label.

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?
No political propaganda was disseminated in a manner which required such filing.
Yes ☐ No ☒

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐

Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6-month reporting period.

Yes ☐ No ☐

Not Applicable

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

Yes ☐ No ☐

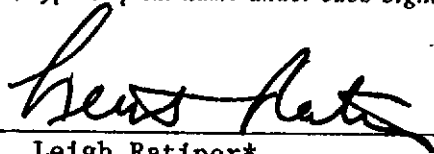
Not Applicable

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

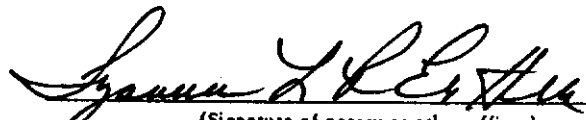
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)


Leigh Ratiner*

*/ Pursuant to a Power of Attorney dated
June 19, 1979.

Subscribed and sworn to before me at Washington, D.C.

this 7th day of July, 19 80


(Signature of notary or other officer)

My Commission Expires November 30, 1980

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

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Attachment No. 1

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8,9, and 10 of this statement? Yes

If yes, identify each such foreign principal and describe in full detail your activities and services:

On behalf of the Government of Liberia, the Registrant has:

- (a) Provided general advice and counselling to the Government of Liberia concerning the promotion of its overall political and economic objectives in the United States;
- (b) Rendered advice to the Government of Liberia and had discussions with the staffs of members of Congress, congressional committee staffs and members of the Executive Branch concerning relations between the United States and Liberia; and
- (c) Recommended strategies for encouraging the interest of American businessmen in new investments in Liberia and consulted about the investment climate with American companies which have investments there or have expressed a possible interest in investing there.

On behalf of Kuwait Airways, the Registrant has prepared and submitted to the Civil Aeronautics Board an application for a foreign carrier permit to fly to certain cities in the United States and represented Kuwait Airways before the Civil Aeronautics Board and Federal Aviation Administration in connection with this application.

Attachment No. 2

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes.

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

On behalf of the Government of Liberia, Registrant had discussions with staff personnel at the Department of State and the Department of Defense in regard to the establishment of the United States Presidential Commission on Liberia in order to encourage a favorable organization and mandate for the Commission from the standpoint of the Government of Liberia. Registrant also met with the U.S. Ambassador to Liberia, Robert Smith, in Monrovia to discuss prospects for new U.S. investment in Liberia.

Also on behalf of the Government of Liberia, Registrant had discussions with various staff members of the Senate Foreign Relations and House Foreign Affairs Committees, with various personal staff of members of Congress who are members of such committees and with staff personnel at the Department of State, Department of Defense, Department of Commerce, A.I.D., OPIC and the National Academy of Sciences concerning: legislation authorizing and appropriating funds for Fiscal years 1980 and 1981 U.S. development, security and other assistance to Liberia; the implementation of U.S. Government programs providing assistance or otherwise affecting Liberia; the business and economic climate in Liberia; and political developments in Liberia.

In representing the Liberian Government, Registrant has also had individual discussions with executives of a number of U.S. corporations which have either a present or possible future interest in business operations in Liberia. The purpose of these discussions was to explore the possibility of encouraging such corporations to consider new investments in that country.

Registrant did not engage in any political activity on behalf of Kuwait Airways during the reporting period.

Attachment No. 3

15.(a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

- (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8,9 and 10 of this statement?

Yes

- (2) transmitted monies to any such foreign principal?

Yes

If yes, set forth below in the required detail and separately for each principal an account of such monies, including monies transmitted, if any, to each foreign principal.

GOVERNMENT OF LIBERIA

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
Various	Xerox	Duplicating	470.85
Various	Taxis and Limousines	Local Transportation	337.21
Various	C&P, ITT	Telexes, Telephone and Telegrams	1,059.36
Various	All-State, Del-Air Couriers and Reimbursement to Ray Williams	Messenger Service	337.27
Various	U.S. Postal Service	Postage	58.23
12/12/79	Washington Service Bureau, Inc.	Research	104.44
12/27/79	Andrew Miller	Business Meal	25.00
1/9/80	Il Giardino	Farewell Dinner for Ambassador Frances Dennis ¹ /	841.90
1/10/80	Andrew Miller	Business Meal	107.00
1/17/80	International Science and Technology Institute	Publication	15.92
1/18/80	David Shapiro	Business Meal	42.05
1/18/80	Andrew Miller	Business Meal	70.00
1/18/80	Leigh Ratiner	Business Meal	72.27

continued

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
1/18/80	Leigh Ratiner	Business Meal	91.06
1/23/80	Sage Associates	Analytical Study ^{2/}	11,125.00
1/24/80	Government Printing Office	Publication	1.00
1/24/80	San Diego Chamber of Commerce	Publication	8.70
1/28/80	Embassy of Liberia	Application Fee for Visa	2.00
2/14/80	Oceana Publications, Inc.	Subscription	36.25
2/20/80	Audio Associates, Inc.	Cash Expense ^{3/}	880.00
2/28/80	Leigh Ratiner	Business Meal	114.90
3/6/80	Andrew Miller	Reimbursement for innoculation	36.50
3/6/80	Fire Hardwoods/ American Walnut Assn.	Publication	6.00
3/12/80	International Wood Trade	Publication	33.08
3/13/80	Leigh Ratiner	Business Meal	129.45
3/20/80	Leigh Ratiner	Business Meal	67.60
3/27/80	Leigh Ratiner	Business Meal	59.13
4/3/80	Rebecca Wright	Travel	190.00
4/3/80	Leigh Ratiner	Travel	1,235.38
4/3/80	Leigh Ratiner	Travel	3,798.21
4/16/80	Africa Business and Economics Review, Inc.	Subscription	275.00
4/17/80	Leigh Ratiner	Travel	381.20
4/17/80	Andrew Miller	Business Meal	41.00
4/17/80	Andrew Miller	Business Meal	38.00
5/21/80	Johnston International Publishing Corp.	Subscription	40.00
5/28/80	International Communi- cations	Subscription	23.00
5/29/80	Liberian Ambassador to the U.S., Herbert Brewer	Cash Advance ^{4/}	225.00

continued

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
5/29/80	Leigh Ratiner	Travel	390.00
5/31/80	Mead Data Central	Lexis Service	<u>107.34</u>
TOTAL			\$21,876.30

KUWAIT AIRWAYS

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
Various	Xerox	Duplicating	\$2,433.62
Various	U.S. Postal Service	Postage	143.58
Various	All-State	Messenger Service	40.47
Various	Taxis and Limousines	Local Transportation	50.45
5/22	Joseph Minetti	Business Meal	19.45
5/22	Richard Littell	Business Meal	14.58
6/5	Joseph Minetti	Business Meal	<u>45.54</u>
TOTAL			\$2,747.69

1/ Registrant hosted this farewell dinner during the preceding reporting period, but did not disburse payment until the present reporting period.

2/ Registrant reported an outstanding and unpaid obligation to SAGE Associates in the amount of \$10,000 in its response to Question 15(a) in the Supplemental Statement submitted January 7, 1980. The remaining \$1,125 shown here represents reimbursement of out-of-pocket expenses in the amount of \$125.00 and a new outstanding and unpaid obligation for a business-oriented analytical study of an investment project proposal.

3/ This disbursement is compensation for services provided to the Government of Liberia in Liberia; Registrant will be reimbursed for this payment by the Liberian Government.

4/ This disbursement is a cash advance to the Embassy of Liberia for which Registrant will be reimbursed.

Attachment No. 4

15(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any person, made any contributions of money or other things of value⁵ in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes.

If yes, furnish the following information:

<u>Date</u>	<u>Amount or thing of value</u>	<u>Name of political organization</u>	<u>Name of Candidate</u>
May 28, 1980	\$400.00 (contribution by Andrew P. Miller)	Dickstein, Shapiro & Morin Political Action Committee	

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UNITED STATES DEPARTMENT OF JUSTICE
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INTERNAL SECURITY
SECTION
REGISTRATION UNIT

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes X or No

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes or No

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)


Signature

July 7, 1980
Date

Leigh Ratiner
Please type or print name of
signatory on the line above

Partner
Title